# fund·D Prospectus

## Adaptative prospectus management solution

The prospectus is a critical document in a fund's lifecycle. Its preparation commands the attention of dedicated experts on regulatory, product development and operations. The update requires a broad array of skills, the intervention of external reviewers (usually lawyers) and sometimes lengthy approval cycles with the regulator. Furthermore, the prospectus has to be translated and localised to meet each target market's national competent authority and marketing expectations.

SEQVOIA's **fund·D** is designed to support your operating model. Whether one or multiple teams work, locally or remotely, on a prospectus update **fund·D** will ensure that the content of the document is consistent. It allows multiple contributors to work on a prospectus update simultaneously and the system provides guidance to merge concurrent versions of the same document. The system will also reinforce the brand image, reduce translation costs and facilitate your document distribution process.

Whether you are an asset manager preparing your own products' prospectuses or you are a service provider managing prospectuses for third parties, **fund·D** will dramatically increase your efficiency, reduce translation costs and provide you with a completely traceable history of changes in your document.

## Added-value.

### Seamless integration in the prospectus management process

The solution delivers a marked prospectus, in Microsoft Word format, which can be used as any Word document by contributors. The document can then be imported back into the solution to ensure consistency between different contributors' deliverables and ensure full traceability of changes.

### Consistency and cost-efficiency through reusability

**fund·D** relies on prospectus fragments to ensure that the minimum possible amount of text is sent for translation, thus minimising costs. Additionally, a content library allows users to reuse narratives across prospectuses, furthering brand image and consistency.

### Cope with each jurisdiction's regulatory requirements

Insert country-specific content in the prospectus and let **fund·D** add it to relevant produced versions of the prospectus.

### Deliver high-quality prospectuses

**fund·D** allows the production of multiple versions of the prospectus:

- Numeric and high-resolution versions for printing, or
- Track changes versions for external legal counsel review or regulator perusal.

All produced versions will respect your corporate identity and ensure a consistent brand image.

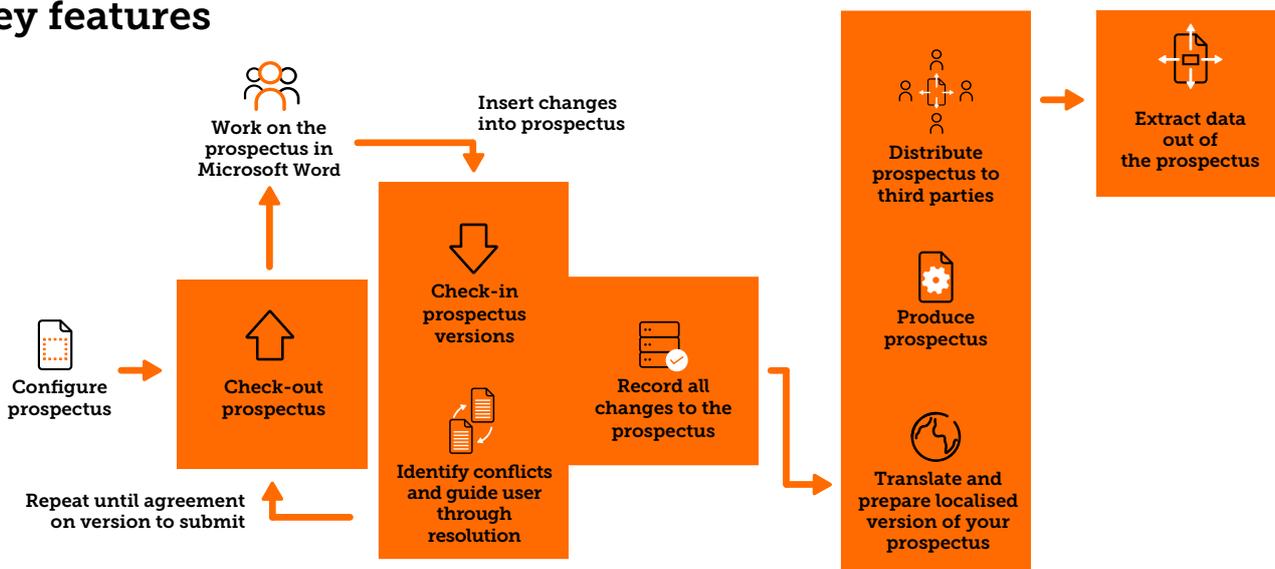### Perform legal compare between prospectuses versions

**fund·D** delivers exhaustive comparison between subsequent versions of a prospectus.

### Data extraction from the prospectus

Use **fund·D** insight to extract content (e.g. investment policies) and data from the prospectus. Enjoy a large set of statistical information about your prospectus update cycles (e.g. when and by whom have changes been inserted).

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# fund·D - Prospectus.

## Key features



**Configure prospectus**

**Check-out prospectus**

**Work on the prospectus in Microsoft Word**

**Insert changes into prospectus**

**Check-in prospectus versions**

**Identify conflicts and guide user through resolution**

**Record all changes to the prospectus**

**Repeat until agreement on version to submit**

**Distribute prospectus to third parties**

**Produce prospectus**

**Translate and prepare localised version of your prospectus**

**Extract data out of the prospectus**

- Configure your initial prospectus in **fund·D**, set up the fragments in which you desire to organise your document.

- Check-out the prospectus and work in Word to update its content. All Word features are supported by **fund·D**: tables, layouts, lists, footnotes, endnotes, etc. Once satisfied with the changes applied to the document, check it back into the solution for **fund·D** to record changes.

- When checking-out the prospectus, identify the recipients supposed to work on the document. The solution delivers an email to each contributor with the document version on which they may work. Once the prospectus modified and checked back in, the solution identifies conflicts (i.e. two different contributors updated the same fragment of the prospectus) and guide users through the merging process.

- Trigger translations directly from **fund·D**, the solution identifies which fragment requires translation and connects seamlessly with the translator.

- Insert country-specific content in the prospectus and let **fund·D** sort out when they should appear – depending on the prospectus version being produced.

- Deliver legal compare to regulator comparing all changes since the last approved prospectus version. The legal compare is performed using the tool Workshare.

- Use **fund·D** insight to extract a vast array of data from the prospectus, ranging from unstructured data written in the document to statistical information related to the update cycles of your documents.

# seqvoia

**SEQVOIA is a leading and influential RegTech which has been helping its customers to create and manage regulatory and statutory documents since 2012.**

**With fund·D, SEQVOIA delivers a solution to dramatically enhance the traceability of prospectus update cycles with little to no disruption to current operating models.**

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